

# CIS 890: Safety Critical Systems

## Lecture: SPARK – *Functional Contract Notation*

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## Objectives

- Understand the motivation for SPARK's procedure contract language
- Be able to use correctly SPARK's annotations for
  - specifying pre- and post- conditions
  - specifying ranges
  - specifying universal and existential quantification
- Be aware of potential pitfalls associated with specifying procedural contracts

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## Outline

- Simple assertions
- Simple pre/post-conditions
- Return annotations for SPARK functions
- Quantification
- Update notation for arrays and records

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## Assertions

Assertions are specified in SPARK using the `--# assert` annotation, and can appear in the implementation of a procedure or function

```
--# assert C >= 0;
```

*Boolean expression*

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# Assertions

Example – using an assertion in a body of a loop to specify a loop invariant

```
procedure Divide(M, N : in Integer; Q, R : out Integer);
--# derives Q, R from M,N;
--# ...
is
begin
  Q := 0;
  R := M;
  loop
    --# assert (M = Q * N + R) and (R >= 0);
    exit when R < N;
    Q := Q + 1;
    R := R - N;
  end loop;
end Divide;
```

Constraints on integer variables

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Example File: ex0305a

# Assertions

Example – using assertions to capture combinations of constraints including range constraints

```
function Value_Present (A: AType; X : Integer) return Boolean is
  Result : Boolean;
begin
  Result := False;
  for I in Index loop
    if A(I) = X then
      Result := True; exit;
    end if;
    --# assert I in Index and not Result and
    --# (for all M in Index range Index'First .. I
    --# => (A(M) /= X));
  end loop;
  return Result;
end Value_Present;
```

I's value lies within the range Index

Constraint on current version of return value

Universal quantification on values up to current value of loop variable

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# Procedure Contracts

Post-conditions describe functional properties of outputs & guarantees made to clients

```
procedure Add(X: in Integer);  
--# global in out Total;  
--# post Total = Total~ + X;
```

Variable modes indicate that Total has a value in both the pre-state and post-state

Final value of Total equals initial value plus  $x$  (recall  $x$  cannot be changed in procedure)

Tilde (~) indicates value of Total in the procedure pre-state (before execution)

## Terminology

- Pre-state - value of all variables just before the method begins execution
- Post-state - value of all variables just after the method completes execution

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# Procedure Contracts

Pre-conditions state requirements/assumptions on clients

```
procedure Add(X: in Integer);  
--# global in out Total;  
--# pre X > 0;  
--# post Total = Total~ + X;
```

Constraints on  $x$  that the client must satisfy before calling procedure Add. Avoids errors in which clients call procedures with incorrect assumptions about parameter restrictions.

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# Procedure Contracts

Another example of a simple *relational* post-condition that relates input and output values

```
procedure Exchange(X, Y : in out Float);  
--# derives X from Y &  
--#           Y from X;  
--# post X = Y~ and Y = X~;  
is  
  T : Float;  
begin  
  T := X; X := Y; Y := T;  
end Exchange;
```

Simple post condition stating that parameter values have been swapped.

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# Position of Tilde

One must be careful about the position of the tilde in some cases...

- $A \sim (I)$ 
  - Refers to position I (current value of I) in original value of A
  - Here, A is both imported/exported (not necessarily for I)
- $A \sim (I \sim)$ 
  - Refers to position I (original value of I) in the original value of A
  - Here both A and I are imported/exported
- $R \sim . C$ 
  - Refers to the initial value of component C for some record R
- $P . V \sim$  (not  $P \sim . V$ )
  - Refers to the initial value of global variable V from some package P ( $P \sim$  doesn't make sense because a package does not change state)

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# Procedure Contracts

Building on our previous example...

```
procedure CAB(A, B, C : in out Float);
--# derives A from C &
--#       B from A &
--#       C from B;
--# post A = C~ and B = A~ and C = B~;
is
begin
  Exchange(A,B);
  Exchange(A,C);
end CAB;

procedure Exchange(X, Y : in out Float);
--# derives X from Y &
--#       Y from X;
--# post X = Y~ and Y = X~;
end Exchange;
```

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Example File: ex0306b

# Location of SPARK Contracts

Recall that a SPARK package includes both a package specifications (the public interface) and a package body

Package Specification

```
package MyPackage
--# own G1, G2;
is
  type MyPublicType is...
  procedure P(in X, out Y);
--# global in G1; out G2;
--# derives Y from X,
--#       & G2 from G1;
--# post Y = X + 1;
end MyPackage;
```

*Contract is stated  
in package spec  
for a public  
procedure/  
function*

Package Body

```
package body MyPackage
is
  G1: ...
  G2: ...
  type MyPrivateType is...
  procedure P(in X, out Y) is
begin
  ...P implementation...
end P;
end MyPackage;
```

- In the absence of data (own variable) refinement, we have two simple rules...
  - If a procedure/function is public (appears in the package spec), the contract is stated in the package spec. This exposes the contract to clients of the package.
  - If a procedure/function is private (appears only in the package body), the contract is stated in the package body

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# For You To Do

Pause the lecture and complete the following exercise (FYTD #1)...

- For the program below (fytd-01.ada), add appropriate pre/post-conditions to package to enforce the following constraints:
  - Pre-condition: X, Y, Z are unique values (e.g.,  $X \neq Y$ , etc.)
  - Post-condition: The final value of Max contains the greatest value held in one of the input variables X, Y, Z
- Use the Examiner to check that the syntax of your contract is correct

```
package P
is
  procedure FYTD01(
    X: in Integer;
    Y: in Integer;
    Z: in Integer;
    Max: out Integer);
end P;

package body P
is
  procedure FYTD01(X: in Integer;
                  Y: in Integer;
                  Z: in Integer;
                  Max: out Integer) is
  begin
    if (X > Y) and (X > Z) then
      Max := X;
    elsif (Y > X) and (Y > Z) then
      Max := Y;
    else
      Max := Z;
    end if;
  end FYTD01;
end P;
```

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# Return Annotation

SPARK functions do not update globals or parameters – they only provide a return value. Thus, “post-conditions” in functions are specified using `return` annotations.

```
function Inc(X: Integer) return Integer
--# return X + 1;
is
begin
  return X + 1;
end Inc;
```

An expression that describes the result of a function.

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# Return Annotation

Return annotations can introduce a specific name, e.g.,  $M$ , to refer to the return value

```
function Max (X, Y : Integer) return Integer
--# return M => (X > Y -> M = X) and
--#           (Y > X -> M = Y);
is
  Result : Integer;
begin
  if X > Y then
    Result := X;
  else
    Result := Y;
  end if;
  return Result;
end Max;
```

*Return  $M$  where is such that if  $X > Y$  then  $M$  equals  $X$  and if  $Y > X$  then  $M$  equals  $Y$*

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# Return Annotations

Impact of non-exhaustive cases in return annotation

```
function Max (X, Y : Integer) return Integer
--# return M => (X > Y -> M = X) and
--#           (Y > X -> M = Y);
is
  Result : Integer;
begin
  if X > Y then
    Result := X;
  elsif Y > X then
    Result := Y;
  else
    Result := 210;
  end if;
  return Result;
end Max;
```

*Cases for  $X, Y$  are not exhaustive, so there is a "hole" in the post-condition where the return value is not specified: when  $X=Y$ , and value can be returned as the result.*

*Any integer value that we choose to place here would satisfy the function contract.*

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# Return Annotations

Care is needed to avoid incomplete specifications. One approach is to use the following form...

```
--# return M => (A -> M = X) and
--#              (B -> M = Y) and
--#              (C -> M = Z) and
--#              (A or B or C);
```

Guarantees that one of the antecedents is always true.

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# Return Annotations

Another pitfall: Overlapping ranges in return annotation...

```
function Max (X, Y : Integer) return Integer
--# return M => (X >= Y -> M = X) and
--#              (Y >= X -> M = Y);
is
  Result : Integer;
begin
  if X > Y then
    Result := X;
  else
    Result := Y;
  end if;
  return Result;
end Max;
```

Ranges overlap. But we are OK for this example because in the range of overlap ( $X=Y$ ) the return value (either  $X$  or  $Y$ ) will be the same.

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# For You To Do

## Pause the lecture and complete the following exercise (FYTD #2)...

- For the program below (fytd-02.ada) which corresponds to the previous FYTD example restated as a function, add appropriate pre-conditions and return value constraints to package to enforce the following constraints:
  - Pre-condition: X, Y, Z are unique values (e.g.,  $X \neq Y$ , etc.)
  - Return value constraint: The return value is the greatest value held in one of the input variables X, Y, Z
- Use the Examiner to check that the syntax of your contract is correct

```
package P
is
  function FYTD02(
    X: in Integer;
    Y: in Integer;
    Z: in Integer);
end P;

package body P
is
  function FYTD02(X: in Integer;
                 Y: in Integer;
                 Z: in Integer) is
    Result : Integer;
  begin
    if (X > Y) and (X > Z) then
      Result := X;
    elsif (Y > X) and (Y > Z) then
      Result := Y;
    else
      Result := Z;
    end if;
    return Result;
  end FYTD02;
end P;
```

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# Proof Contexts

## Expressions in proof contexts (assert, check, pre/post) are written in SPARK with a few extensions...

- The expression in a return annotation can take the form of some identifier followed by  $\Rightarrow$  and an extended expression as illustrated in previous example.
- The operators  $\rightarrow$  (implies) and  $\leftrightarrow$  (is equivalent to) are available. Their precedence is the same as **and**, **or** and **xor**. They similarly cannot be mixed in an expression without the use of parentheses.
- Predicates can be quantified using **for all** and **for some**
  - e.g., **for all** M **in** Index  $\Rightarrow$  (A(M) = 0)
- There are forms for representing composite objects with some components changed.
  - A[I  $\Rightarrow$  A(J); J  $\Rightarrow$  A(I)]
    - ...denotes the array A with components I and J interchanged. Similarly D [Year  $\Rightarrow$  1994] denotes the record D of type Date with the Year component changed.

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# Ranges

Ranges are a common feature of SPARK contracts – especially those dealing with arrays

Consider the declarations...

```
subtype Index is Integer range 1 .. 100;
```

The range `Index` can be referenced in a number of ways...

- `I in Index`
- `I in Index range Index'First .. 50;`
- `I in Index range Index'First .. Index'Last - 1;`
- `I in Index range Index'First + 1 .. Index'Last - 49;`

The same notation can be used when referencing ranges in specifying the bounds of `for`-loops and when describing the ranges of quantified variables.

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# Ranges

Example of referring to the last value of a range...

```
procedure Inc(X: in out T)
--# derives X from X;
--# pre X < T'Last;
is
begin
  X := X + 1;
end Inc;
```

Max value of  
range type

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Example File: ex0304e

# Quantification in SPARK

Quantification notation must reference a previously declared range...

```
subtype Index is Integer range 1 .. 10;
type AType is array (Index) of Integer;

function Value_Present (A: AType; X : Integer) return Boolean;
--# return for some M in Index => (A(M) = X);

function Value_Present (A: AType; X : Integer) return Boolean is
  Result : Boolean;
begin
  Result := False;
  for I in Index loop
    if A(I) = X then
      Result := True; exit;
    end if;
    --# assert I in Index and not Result and
    --# (for all M in Index range Index'First .. I
    --# => (A(M) /= X));
  end loop;
  return Result;
end Value_Present;
```

Existential quantification

Universal quantification

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# Quantification in SPARK

Quantification notation must reference a previously declared range...

```
subtype Index is Integer range 1 .. 10;
type AType is array (Index) of Integer;

function Value_Present (A: AType; X : Integer) return Boolean;
--# return for some M in Index => (A(M) = X);

function Value_Present (A: AType; X : Integer) return Boolean is
  Result : Boolean;
begin
  Result := False;
  for I in Index loop
    if A(I) = X then
      Result := True; exit;
    end if;
    --# assert I in Index and not Result and
    --# (for all M in Index range Index'First .. I
    --# => (A(M) /= X));
  end loop;
  return Result;
end Value_Present;
```

In this case, we only need to refer to the range name `Index` to define the range of the quantified variable `M`.

In this case, we further constrain the value of `M` by specifying a subrange of the named range.

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## Loop Invariant Declaration

Asserts need to be properly placed to be recognized by the Examiner as loop invariants...

```
while Some_Condition
--# assert ...
loop

for I in Some_Type range Some_Range
--# assert ...
loop ...
```

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## Update Notation

SPARK provides notation for representing composite objects (arrays, records) with some components changed.

Updating an array at a specific index position...

```
procedure Write( I: in Index; V: in Value) is
--# global in out A;
--# derives A from *, I, V;
--# post A = A~[I => V];
begin
  A(I) := V;
end Write;
```

*The post-value of  $A$  is equal to the pre-value of  $A$  ( $A\sim$ ) except for the value at position  $I$  which maps to  $v$*

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# Update Notation

## Swapping elements of two arrays at given positions...

```
type Index is range 1 .. 10;
type AType is array (Index) of Integer;

procedure Swap_Elements(I, J : in Index;
                       A : in out AType)
--# derives A from A, I, J;
--# post: A = A~[I => A~(J); J => A~(I)];
is
  Temp : Integer;
begin
  Temp := A(I); A(I) := A(J); A(J) := Temp;
end Swap_Elements;
```

The post-value of  $A$  is equal to the pre-value of  $A$  ( $A\sim$ ) except for the value at index position  $I$  maps to the value at index position  $J$  in the original value of the array, etc.

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# Update Notation

## Updating an record field...

```
type Point is
record
  X_Coord, Y_Coord: Real;
end record;

procedure UpdateX(P : in out Point, NewX: in Real)
--# derives P from P, NewX;
--# post: P = P~[X_Coord => NewX];
is
begin
  P.X_Coord := NewX;
end UpdateX;
```

The post-value of  $P$  is equal to the pre-value of  $P$  ( $P\sim$ ) except for the value at field  $X\_Coord$  maps to the value  $NewX$ .

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## For You To Do

Pause the lecture and complete the following exercise (FYTD #3)...

- Write a procedure with the following signature and an accompanying contract (post-condition) that sets each component of the array to its index value.
  - `procedure Idarr(A : out Atype);`
- Write a function with the following signature to return the value of the maximum component of an array
  - `function Max_Value(A : Atype) return Integer;`
- Using the `Point` type on the previous slide, write a procedure and an accompanying contract (post-condition) that swaps the components of a `Point` value.

For each of your solutions, use the Examiner to check the syntax of your program/contracts.

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## Summary

SPARK's contract language allows assertions and pre/post-conditions to be specified for procedures and functions

- Assertions are boolean expressions that express a programmer's intention (constraints/properties that a programmer intends to hold) at a particular point in the program
- Assertions and pre/post conditions are based on SPARK boolean expressions plus...
  - Universal and existential quantification
  - Update notation for arrays and records
- Post-conditions support special notation ( $\sim$ ) that allows values from the pre-state to be referenced
  - Allows *relational* post-conditions to be specified

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## Acknowledgements

- The material in this lecture is based on Chapters 3 and 11 from...
  - *High Integrity Software: The SPARK Approach to Safety and Security*, John Barnes, Addison Wesley, 2003.